

# Brochure Supplement

NOVEMBER 21, 2016

## SHARON D. ELLIOTT

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Harbinger, NC 27941

(252) 255-1700

This Brochure Supplement provides information about Sharon D. Elliott that supplements the Disclosure Brochure of Élan Wealth Management, L.L.C. (hereinafter "EWM"), a copy of which you should have received. Please contact EWM's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Sharon D. Elliott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Élan Wealth Management, L.L.C., a Registered Investment Adviser**

5561 North Croatan Highway #3, Kitty Hawk, NC 27949 | (252) 255-1700  
[www.elanwealthmanagement.com](http://www.elanwealthmanagement.com)

## Item 2. Educational Background and Business Experience

Born 1971

### Post-Secondary Education

Canon Trust School | Certificate, Trust Management | 1996

Appalachian State University | BS, Business Administration & Finance | 1992

### Recent Business Background

Élan Wealth Management, L.L.C. | President | September 2007 – Present

Gateway Investments | Senior Financial Advisor | August 2002 – September 2007

### Professional Designations

Sharon D. Elliott holds the professional designations of CERTIFIED FINANCIAL PLANNER™ (“CFP®”) and Certified Trust and Financial Advisor (CTFA).

The CFP®, CERTIFIED FINANCIAL PLANNER™ and certification marks are financial planning credentials awarded by Certified Financial Planner Board of Standards Inc. (“CFP Board”) to individuals who meet its education, examination, work experience, and ethics requirements. Eligible candidates must have at least a bachelor's degree (or its equivalent) in any discipline from an accredited college or university in order to obtain a CFP® certification. The candidate also must pass an examination, have three years of personal financial planning experience, and meet the CFP Board's ethical requirements. To maintain the certification, the CFP Board requires individuals to complete 30 hours of continuing education hours every two years and renew an agreement to be bound by its Standards of Professional Conduct.

The CTFA certification is a wealth management and trust related credential awarded by the Institute of Certified Bankers (the “ICB”) to those individuals who satisfy its education, experience and ethics requirements. The CTFA certification is designed to establish a recognized standard of knowledge and competence for the trust and wealth advisory field. Eligible candidates are required to have either a minimum of three (3) years' experience in wealth management as well as completion of an ICB-approved wealth management training program, five (5) years' experience in wealth management and a bachelor's degree, or ten (10) years' experience in wealth management. Candidates are further required to provide a professional reference attesting to their ethical character and certification qualifications, and sign the ICB's Professional Code of Ethics statement. In order to maintain the designation, recipients must complete 45 hours of continuing education every three years, and adhere to ICB's Code of Ethics.

For additional information about either of these credentials, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

EWM is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Sharon D. Elliott. EWM has no information to disclose in relation to this Item.

## **Item 4. Other Business Activities**

EWM is required to disclose information regarding any investment-related business or occupation in which Sharon D. Elliott is actively engaged.

### **Licensed Insurance Agent**

Sharon D. Elliott is also a licensed insurance agent with various insurance companies, and in such capacity, may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that EWM recommends the purchase of insurance products where Sharon D. Elliott receives insurance commissions or other additional compensation. EWM has procedures in place to ensure that any recommendations made by Sharon D. Elliott are in the best interest of clients regardless of any additional compensation earned.

## **Item 5. Additional Compensation**

EWM is required to describe any arrangement under which Sharon D. Elliott receives an economic benefit for providing advisory services from someone that is not a client of EWM. EWM has no information to disclose in relation to this Item.

## **Item 6. Supervision**

Sharon D. Elliott, the President of EWM, is generally responsible for her own supervision. Sharon D. Elliott monitors her advice in an effort to ensure that investments are suitable for her individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by EWM's clients.

## **Item 7. Requirements for State Registered Advisers**

EWM is required to disclose information regarding Sharon D. Elliott's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. EWM has no information to disclose in relation to this Item.